Large-scale identification of sequence variants influencing human transcription factor occupancy *in vivo*

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The function of human regulatory regions depends exquisitely on their local genomic environment and on cellular context, complicating experimental analysis of common disease- and trait-associated variants that localize within regulatory DNA. We use allelically resolved genomic DNase I footprinting data encompassing 166 individuals and 114 cell types to identify >60,000 common variants that directly influence transcription factor occupancy and regulatory DNA accessibility in vivo. The unprecedented scale of these data enables systematic analysis of the impact of sequence variation on transcription factor occupancy in vivo. We leverage this analysis to develop accurate models of variation affecting the recognition sites for diverse transcription factors and apply these models to discriminate nearly 500,000 common regulatory variants likely to affect transcription factor occupancy across the human genome. The approach and results provide a new foundation for the analysis and interpretation of noncoding variation in complete human genomes and for systems-level investigation of disease-associated variants.

The regulatory DNA compartment of complex metazoan genomes collectively instructs the gene expression programs underlying development, differentiation and environmental responses. The information encoded in regulatory DNA is actuated through the cooperative binding of sequence-specific transcription factors in place of a canonical nucleosome, resulting in focal alteration of chromatin structure that is detectable through markedly increased nuclease sensitivity¹. Comprehensive detection of DNase I–hypersensitive sites (DHSs) enables delineation of all recognized functional classes of regulatory elements and, applied systematically across hundreds of cell and tissue types and states², has yielded deep catalogs of human regulatory DNA. Common variants associated with diverse human diseases and phenotypic traits are concentrated in regulatory DNA marked by DHSs³, as are expression quantitative trait loci (eQTLs)⁴, implicating regulatory variation as an important mediator of quantitative human phenotypes. Assessment of the functional consequences of regulatory variation is complicated by several factors. It has long been recognized that regulatory elements are fine-tuned for their native chromatin and chromosomal environments within specific cell types⁵. Regulatory elements interact with cognate target gene(s) typically located at some distance (tens to hundreds of kilobases away)^{2,6}; these interactions may in turn be influenced by interposing genes⁷. Regulatory DNA function also critically depends on the identity and precise configuration of transcription factor recognition sites⁸, together with the modification state of immediately flanking chromatin^{9,10}. As such, accurate assessment of the potential impact of genetic variation on a given regulatory region should be made within its native context *in vivo*, in a cognate cell type.

The state of chromatin remodeling (that is, nuclease sensitivity¹¹) of regulatory DNA is highly sensitive to the occupancy of individual transcription factors. High sequencing depth at DHSs results in an effective resequencing of regulatory regions, in turn enabling *de novo* identification of genotypes directly from DNase-seq reads^{3,12,13}. Thus, perturbation of transcription factor occupancy by genetic variants that influence the DNA recognition interface within their endogenous site *in vivo* can be accurately detected by allele-specific DNase-seq, with sensitivity dependent on the number of DNase I cleavages (that is, sequencing depth). Thus far, however, this approach has only been applied in a limited fashion, with delineation of a relatively small number of regulatory variants^{4,14–20}.

Here we systematically combine regulatory DNA genotyping with allelically resolved DNase-seq in analysis of over 114 cell and tissue types and states sampled from 166 individuals. We uncover an expansive trove of regulatory DNA variants that directly influence the chromatin architecture of individual regulatory regions in an allele-specific fashion. Although imbalanced variants are concentrated at sites of DNA recognition by transcription factors, a substantial fraction of variation within regulatory DNA regions is buffered in a context-dependent manner. By creating dense *in vivo* profiles of the variation affecting diverse transcription factor families, we further identify nearly 500,000 common variants strongly predicted to affect transcription factor activity. Collectively, our results identify genetic effects on transcription factor activity on an unprecedented scale.

RESULTS

Profiling of variation influencing chromatin accessibility

We combined previously published and new data, all generated through a uniform pipeline, to obtain a data set of 493 high-resolution

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DNase-seq profiles of genome-wide regulatory activity (Fig. 1a and Supplementary Tables 1-4). Each profile was sequenced to a median depth of 75×10^6 non-redundant autosomal reads, and total sequencing comprised 26.2×10^9 reads. The samples comprise diverse cultured primary cells, cultured multipotent and pluripotent progenitor cells, and fetal tissues. We specifically excluded low-quality and potentially aneuploid samples to avoid artificial bias (Online Methods). We developed a pipeline using SAMtools²¹ to identify SNPs directly from the DNase-seq reads for each individual represented. We found an average of 26,176 heterozygous sites per individual, with the number depending largely on total sequencing depth (Supplementary Table 3). We validated our genotypes against Illumina Human 1M-Duo array data available from the Encyclopedia of DNA Elements (ENCODE) Project for 23 individuals in common²². At SNPs represented in both data sets, we measured an average specificity of 99.7% and sensitivity of 99.4% at genotypes passing our filters (Supplementary Table 5) and a raw sensitivity of up to 73% at sites of high $(>32\times)$ sequencing depth (Supplementary Fig. 1 and Supplementary Table 5).

We tested the SNPs we identified for allelic imbalance in chromatin accessibility (Supplementary Fig. 2a). We restricted our analysis to 362,284 SNPs with high power, requiring at least two heterozygous individuals, sufficient total read depth (>50 reads) and good mappability for both alleles (Online Methods, Supplementary Fig. 2b and Supplementary Data Set 1). At each SNP, we quantified the relative proportion of reads mapping to each allele totaled across all heterozygous cell types (Fig. 1b and Online Methods). This approach identified 64,597 imbalanced SNPs where the ratio of sequencing reads mapping to the two alleles significantly deviated from a 50:50 ratio at a 5% false discovery rate (FDR) (Fig. 1c). These variants exhibited a broad spectrum of effect sizes, as measured by the allelic ratio, and a subset of 9,456 variants exhibited extremely strong (>70%) imbalance at a strict FDR cutoff of 0.1% (Fig. 1d and Supplementary Figs. 2c and 3). The proportion of imbalanced sites remained the same when restricting to the ENCODE Illumina genotypes,

confirming the accuracy of our genotyping approach (Supplementary Table 6). The majority of variants were located in intronic or intergenic regions outside of the transcription start site (TSS) (Supplementary Table 7). Fully 19% of the DHSs surveyed

Figure 1 Identification of regulatory variants influencing DNA accessibility. (a) Outline of the experimental procedure and data set. (b) Allelic analysis of DNA accessibility at heterozygous sites. Imbalance manifests as a deviation from a 50:50 ratio in the fraction of reads mapping to the two homologous chromosomes, potentially due to alteration of transcription factor binding by the sequence variant itself. (c) The extent of imbalanced variants discovered. A strict set of imbalanced variants was identified at 0.1% FDR and with >70% imbalance (blue). (d) Allelic ratios of sequencing reads relative to the reference allele. A ratio of 70% represents a 2.3-fold difference in accessibility between the two alleles. (e) The Pearson correlation of allelic ratios at adjacent SNPs broken down by distance to the next SNP. The dashed line represents the median width of DHS hotspots overlapping SNPs in this study. Shown are SNPs in high LD ($r^2 > 0.8$) in our samples (Supplementary Fig. 5).

in 114 cell and tissue types overlapped a SNP tested for imbalance (counting a DHS once per cell type in which it appeared), and 5.6% of DHSs overlapped imbalanced variants, emphasizing the unprecedented extent of our data set. Overall, 47% of the DNase I sensitivity quantitative trait loci (dsQTLs)⁴ and 81% of the CTCF quantitative trait loci (QTLs)¹⁷ also examined in the present study were imbalanced, representing a 2.7-fold and 4.5-fold enrichment relative to the background rate of imbalance, respectively. Furthermore, imbalance was concentrated at sites of transcription factor occupancy marked by DNase I footprints, suggesting a tight relationship between imbalance in chromatin accessibility and transcription factor activity (Supplementary Fig. 4).

We then examined the co-occurrence of imbalance at nearby SNPs in our data. Although nearby SNPs are known to demonstrate correlation in the presence of certain alleles (linkage disequilibrium, or LD), we reasoned that imbalance in chromatin accessibility would only be correlated at two sites if they additionally occupied a common regulatory domain within the nucleus. We found that allelic ratios at nearby polymorphic sites were strongly correlated at distances less than 100 bp, well below the median width of a DHS hotspot (751 bp) (Fig. 1e). Notably, there was little correlation for SNPs unlikely to be found on the same haplotype in our samples ($r^2 < 0.20$), even at close range. Conversely, SNPs in high LD separated by >250 bp showed no correlation in imbalance (Supplementary Fig. 5). The narrow range of correlation in imbalance for linked SNPs thus likely reflects focal alteration of transcription factor binding within composite binding elements.

Broad cell type sampling dramatically increases detection power Power to detect imbalance at individual sites depended strongly on sequencing depth, as expected from the binomial distribution, and power calculations indicated that additional sequencing was likely to uncover new variants with moderate effect sizes (Supplementary Fig. 6). We therefore applied a targeted footprinting method^{17,23–25}





Figure 2 Effect of sampling depth on the detection of imbalance. (**a**–**c**) The discovery of imbalanced variants is depicted when considering additional samples (**a**), additional individuals for a given cell type (**b**) or additional cell types for a given individual (**c**). Imbalanced SNPs were identified in an increasing subset of the data, when adding one sample at a time (starting with the most deeply sequenced). Proportions were computed as the number of SNPs identified at intermediate data points divided by the total number of SNPs from the full data set for that series. Imbalance was established using the *P*-value cutoff corresponding to 0.1% FDR in the total data set and required at least 70% imbalance. Sequencing coverage was measured as the total reads over all SNPs passing filters. Shown in **b** and **c** are subsets of highly sampled cell types and individuals, respectively.

to enrich the DNase-seq libraries from abdominal skin (AG10803) and mammary stromal (HMF) fibroblasts (**Supplementary Fig. 7a**). Sequencing depth in the two targeted cell types was enriched by up to fivefold in comparison to the original libraries (**Supplementary Fig. 7b**), with the coverage at targeted sites approaching that of the full data set across 493 genomic samples (**Supplementary Fig. 6a**). Allelic ratios were highly reproducible between the genomic and targeted samples (**Supplementary Fig. 7c**). We did observe a slight bias for the reference allele at SNPs directly overlapped by capture probes (**Supplementary Fig. 7d** and **Supplementary Table 8**). We attributed this bias to decreased hybridization energy for DNA fragments containing a mismatch with the probe sequence and compensated by adjusting the expected allelic ratio in the binomial test accordingly. Enrichment of sequencing depth at the targeted sites enabled the discovery of 1,174 new imbalanced SNPs (**Supplementary Fig. 7e,f**). We measured a high replication rate for imbalance calls from the full genomic data set in comparison with these calls (**Supplementary Fig. 7g**), suggesting that targeted enrichment of sequencing libraries can efficiently identify new alterations in DNA accessibility.

The breadth of cell types surveyed provides access to both new regulatory compartments and individual-level sequence diversity. We computed the cumulative contribution of additional cell types to the

Figure 3 Cross-cell type analysis of imbalance. (a) Pairwise Pearson correlations of allelic ratios between samples. Note the increased correlation among samples from the same individual or cell type in comparison to all pairwise samples. Error bars, s.d. P values were derived from the Mann-Whitney U test. (b) Sites were classified as context independent or dependent by the presence (+) or absence (-) of cell type-specific and/or overall imbalance; NA, absence of a DHS. (c) Analysis of the relationship between imbalance in one or more cell types and overall imbalance at the same site. The 29,889 sites without any imbalance are not shown. (d) Allelic ratios per cell type, oriented such that 1.0 represents the direction of overall imbalance at each site. Allelic ratios deviate from 0.5 at context-independent sites even in cell types without significant imbalance (gray arrow). In contrast, context-dependent sites are characterized by strong imbalance only in a subset of cell types. A minority of context-



overall imbalance are shown in **Supplementary Figure 8d**. Imbalance was considered significant at 5% FDR and >60% allelic ratio (dashed gray lines). (e) Model of context-dependent imbalance at a composite regulatory element bound by both cell type–specific and constitutive transcription factors (TFs).

dependent sites display discordant imbalance

between samples (blue asterisk). Sites without

discovery of imbalanced variants and found that iterative incorporation of subsequent samples continued to result in the identification of new imbalanced SNPs (**Fig. 2a**). We broke down this increased discovery power in terms of both the contribution of additional individuals for a given cell type (**Fig. 2b**) and the contribution of additional cell types for a given individual (**Fig. 2c**), and we found a continued yield of imbalanced SNPs with each additional sample.

Cellular context sensitivity of imbalance

We analyzed the consistency of allelic imbalance across different individuals and cellular contexts. To reduce the confounding effect of detection power, we focused on a subset of samples with high sequence depth having multiple samples per cell type and individual (**Supplementary Table 9**). We limited our analysis to sites with at least three heterozygotes each having both a DHS and high sequencing coverage (>30 reads per sample). Examining the pairwise correlations in allelic ratios between samples showed increased similarity among those from the same individual or cell type (**Fig. 3a**).

To examine imbalance across cell types at high resolution, we then summed the reads from all samples for a given cell type and analyzed each cell type for imbalance (Fig. 3b and Supplementary Table 10). To avoid confounding cell type selectivity with variable sensitivity, we required at least 50 reads at each site, subsampled each site to consider only three cell types and then further downsampled the allele counts to match the lowest of the three cell types (Supplementary Fig. 8a). Focusing on sites with imbalance detectable in one or more cell types, we defined two classes of sites: those with imbalance manifest across all cell types ('context-independent' sites) and those without imbalance across all cell types ('contextdependent' sites) (Fig. 3b,c and Supplementary Fig. 8b,c). Allelic ratios at context-independent sites were shifted toward overall imbalance, even in cell types without significant imbalance themselves (Fig. 3d and Supplementary Fig. 8d). This high concordance of allelic ratios across cell types suggests that imbalance at these sites occurs consistently across all cell types, despite varied detection power. In contrast, at context-dependent sites, allelic ratios exhibited a clear bifurcation between cell types with and without imbalance, reflective of a binary presence or absence of imbalance at the same site in different cell types. The direction of imbalance at these contextdependent sites was largely consistent across samples and cell types (Fig. 3d), suggesting that context sensitivity represents a consistent genetic effect reflecting a feature of the cellular environment such as transcription factor levels rather than epigenetic propagation of altered transcription factor occupancy (Fig. 3e).

Chromatin features at imbalanced variants

DHSs mark sites of transcription factor binding in place of a canonical nucleosome and are flanked by histones bearing characteristic covalent modifications¹. To investigate the independent responses of these structural features to sequence variation, we surveyed the



Figure 4 Imbalance in CTCF occupancy and H3K4me3. (**a**,**b**) The extent of imbalance (5% FDR) in CTCF occupancy (**a**) and H3K4me3 (**b**). (**c**,**d**) Allelic consistency for DNase I sensitivity with CTCF occupancy (**c**) and H3K4me3 (**d**); shown are sites imbalanced for both features considered. The *r* value is the Pearson correlation of the allelic ratios. SNPs for DNase I sensitivity imbalanced at 5% FDR were used.

chromatin immunoprecipitation and sequencing (ChIP-seq) profiles of trimethylation of histone 3 at lysine 4 (H3K4me3) and of occupancy of the master genome regulator and transcription factor CTCF (Supplementary Fig. 9 and Supplementary Table 2). We identified far fewer imbalanced variants for H3K4me3 and CTCF than for DNase I sensitivity (Fig. 4a,b and Table 1)^{17,18}. The majority of variants imbalanced in CTCF occupancy also exhibited imbalance in DNase I sensitivity, consistent with previous work⁴. However, most variants imbalanced for H3K4me3 exhibited no imbalance in DNase I sensitivity (Supplementary Table 11). Moreover, although the direction of imbalance was consistent for DNase I sensitivity and CTCF occupancy, allelic ratios for H3K4me3 showed low correlation with DNase I sensitivity (Fig. 4c,d). Thus, these results confirm the reliability of DNA accessibility as an indicator of allelic transcription factor occupancy and suggest that, at many sites, H3K4me3 patterns vary independently of transcription factor activity²⁶.

Transcription factor-centric profiles of sequence variation

To ascribe imbalanced variants to an effect on the activity of individual transcription factors, we aligned SNPs to recognition sequences matching 2,203 transcription factor motifs. These transcription factor motifs collectively represent the majority of mammalian transcription factors and correspond to 825 distinct transcription factor genes and 270 distinct families of non-redundant binding specificities (**Supplementary Fig. 10, Supplementary Tables 12–14** and **Supplementary Data Set 2**). This analysis showed that heterozygosity was uniform around JDP2 and NFIX recognition sequences,

Table 1 Summary of the experimental data and the imbalanced variants identified

Assay	Samples	Individuals	Cell types	Sequencing reads (×10 ⁹)	Sequencing reads per sample (×10 ⁶)	Mean peaks sample	SNPs tested	Imbalanced SNPs ^a
DNase-seq	493	166	114	26.2	53.2	173,032	362,284	64,597
CTCF	83	39	28	1.0	12.4	71,998	12,490	842
H3K4me3	94	45	49	1.7	17.9	61,991	39,175	1,367
All	671	183	121	28.9	-	-	372,433	66,376

Read counts represent the non-redundant reads used for analysis (Online Methods and **Supplementary Tables 1–4**). ^aSNPs were considered significantly imbalanced at 5% FDR. except for a slight reduction in diversity at positions in the motif with high information content likely attributable to purifying selection^{22,27,28} (**Fig. 5a**). In contrast, imbalanced variants were strikingly concentrated at key positions within each recognition sequence, with the higher-accessibility allele qualitatively matching the consensus sequence (**Fig. 5b**). Accounting for uneven heterozygosity, the frequency of imbalance at each position was strongly reflective of information content



Figure 5 Profiles of transcription factor sensitivity to sequence variation. (**a**–**c**) Concentration of imbalanced SNPs within recognition sequences for AP-1 (left) and CTF/NF-I (right) transcription factors. Shown are all SNPs tested for imbalance (**a**), significantly imbalanced variants (0.1% FDR) (**b**) and the proportion of imbalanced SNPs per position (**c**). Color indicates sites where the allele with higher accessibility has higher information content according to the transcription factor motif. The white background denotes the width of the motif. (**d**) Survey of the transcription factor motifs analyzed for profiles of imbalance. Similar motifs were grouped into a non-redundant transcription factor cluster (**Supplementary Fig. 10**). Transcription factors with insufficient SNPs overlapping their motifs were not analyzed (Online Methods). (**e**–**h**) Transcription factor clusters with enrichment of imbalanced SNPs. Each point represents an individual motif. Shown are the number of SNPs overlapping recognition sites (**e**), the number of imbalanced SNPs (**f**), the frequency of substitutions resulting in imbalance (**g**) and the log₂-transformed enrichment of the proportion factor clusters highlighted in the main text. The significance of the enrichment for significant SNPs in motifs in **h** was assessed by permutation.



Figure 6 Buffering of regulatory variation. (a) Schematic of the chromatin environment at promoter DHSs indicating increased DHS size, accessibility and density of transcription factor binding sites relative to distal DHSs. (b) Threshold model of transcription factor occupancy explaining the buffering of point changes at strong sites. (c) The frequency of imbalance relative to variant position with respect to the TSS demonstrates buffering within the promoter region. Buffering is strongest between -2.5 kb and +5.0 kb with respect to the TSS. Bins are labeled by the endpoint furthest from the TSS. (d) The frequency of imbalance, broken down by site strength as measured by DNase I accessibility across all cell types having a DHS.

at that position in the transcription factor binding motif (**Fig. 5c** and **Supplementary Fig. 11**).

Our analysis yielded sufficient overlapping SNPs for assessment of the profile of imbalance at 144 transcription factor clusters, likely reflective of the number of genomic matches to each transcription factor consensus sequence and the cell type selectivity of the cognate transcription factor activity (Fig. 5d and Supplementary Tables 15 and 16). Although most imbalance was found to match sequence preferences predicted by transcription factor motifs, we found that only a minority of variants overlapping transcription factor recognition site sequences resulted in allelic imbalance (Fig. 5e-g). Fully 44 non-redundant transcription factor clusters showed statistically significant enrichment of imbalance within the transcription factor motif (Fig. 5h and Supplementary Fig. 11). The transcription factor clusters with significant enrichment of imbalance include a variety of tissue-specific or inducible regulators, comprising constitutive factors such as CTF/NF-I, CCAAT/CEBP, CTCF and SP1; resident nuclear factors, including the AP-1 complex, CREB/ATF and ETS families; and factors recruited by multifunctional sequence elements, such as the E-box. This finding suggests that these factors are directly responsible for the potentiation of DNA accessibility in a wide variety of cellular contexts, and, indeed, many of these factors were previously identified as key determinants of accessible chromatin^{2,29}.

Site-dependent buffering of sequence variation

That only a minority of the variants in the present study result in imbalance (although all overlap DHSs) suggests that local features buffer the effect of sequence variation on transcription factor occupancy¹⁷. Promoters represent the prototypical transcriptional regulatory element, being distinguished from more distal DHSs by their length and intense accessibility, and are easily identifiable by sequence features and their accessibility across a broad range of cell types (**Fig. 6a**). We reasoned that the combinatorial binding of numerous transcription factors at promoters may result in a highly accessible chromatin state that is buffered to perturbation by point variation (**Fig. 6b**). Indeed, we found that TSSs exhibited a reduced frequency of imbalance, despite having higher detection power from increased sequencing depth (**Fig. 6c** and **Supplementary Fig. 12a–c**). Indeed, the strength and cell type–specific activity spectra of the DHSs were both negatively correlated with the frequency of imbalance (**Fig. 6d** and **Supplementary Fig. 12d**). By measuring the number of independent transcription factor binding sites in the flanking 500 bp identified by DNase I footprints, we found that additional factor occupancy was itself directly associated with buffering (**Supplementary Fig. 12e**). These results suggest that the effects of sequence variation on transcription factor activity are buffered by site-dependent features, imparting a regulatory structure on the genome and confirming the need to study regulatory variants at their native loci.

Transcription factor-centric prediction of variants affecting DNA accessibility

Given the challenges to studying functional sequence variation at endogenous loci, existing methods for prediction of functional regulatory variation consider transcription factor binding sites without regard to genomic context. Moreover, site strength and broad cell type-specific activity are often interpreted as positive factors indicative of reproducibility rather than reduced penetrance. To overcome these deficiencies, we used the experimentally determined sensitivity profiles delineated by the SNPs overlapping each motif to train logistic models for the genome-wide prediction of variation affecting transcription factor occupancy. We quantified the effect of single-nucleotide variants (SNVs) on the energy of transcription factor binding as the difference in information content between the two alleles and the specific position in the recognition sequence disrupted. We also incorporated features associated with transcription factor occupancy at a specific recognition sequence, including the occupancy measured by DNase I footprinting, the score of the match to the motif and phylogenetic conservation. To account for variation in detection power across our experimental data set, we included the read depth and number of heterozygous samples as covariates. We trained a separate model for each of 313 motifs enriched for imbalanced SNPs (Supplementary Fig. 13a and Supplementary Table 17). The cell type-specific activity spectrum, the position of the SNP relative to the transcription factor motif and the score of the match to the transcription factor motif all had strong coefficients in the model. Although other factors had individually small effects, their combined contribution was substantial. Finally, we recalibrated the raw regression scores in terms of the empirical rate of significant variants to provide a standardized score on an intuitive scale. As a given SNV generally

Figure 7 Recognition of variation affecting transcription factor occupancy across the genome. (a) Scores for noncoding variants in a DHS were calculated as the maximum score from all overlapping transcription factor-specific models. PWMs, position weight matrices. (b,c) Measurement of performance versus experimentally determined imbalanced variants (Online Methods). (b) Positive predictive value (PPV; the proportion of predicted variants that are true positives, also known as precision) is plotted for increasing score cutoffs. At a score cutoff of 0.1 (dotted line), 51% of predictions are true positives. The red line measures performance on the held-out FL_E validation data set. (c) Precision (as in b) versus recall (the overall proportion of imbalanced SNPs that are correctly predicted). A higher area under the curve represents better model performance. (d) Identification of common human sequence variants affecting transcription factor occupancy. The cumulative distribution shows the number of SNPs exceeding a given score cutoff. PPVs at selected cutoffs are transcribed from the data in b.

overlaps multiple transcription factor recognition sequences, we assigned an overall score as the maximum score for any individual transcription factor (**Fig. 7a**). This

approach resulted in a simple scoring scheme, termed contextual analysis of transcription factor occupancy (CATO), that provides a recalibrated probability of affecting the binding of any transcription factor, as well as a quantitatively ranked list of transcription factor families whose binding might be altered.

At a cutoff of 0.1, CATO scores demonstrated a positive predictive value of 51%, with increased accuracy at more stringent cutoffs, and demonstrated nearly the same positive predictive values on a separate erythroblast DNase-seq validation data set (Fig. 7b). Precision recall analysis showed that CATO outperformed other approaches on both the training set of imbalanced SNPs and an independent set of dsQTLs⁴ and that inference of natural selection from phylogenetic constraint or population diversity offers poor predictive power for common variation in regulatory regions (Fig. 7c and Supplementary Fig. 13b,c).

To illustrate the genome-wide recognition of variants affecting transcription factor occupancy using our experimental models of sensitivity to sequence variation, we scored 50 million variants in dbSNP 138, a large collection of human sequence variation³⁰. Although 7.0 million of these variants lie in a DHS and alter a transcription factor recognition sequence (simply requiring a log-transformed odds difference between alleles >2), it is unclear how many of these affect binding *in vivo*. We identified 483,415 SNVs with a CATO score of 0.1 or higher, illustrating the potential of our method to focus global analyses on a minority of noncoding variants likely to affect transcription factor occupancy (**Fig. 7d** and **Supplementary Data Set 3**). Thus, our approach provides a scalable method for high-throughput identification of regulatory variants and will likely prove broadly applicable to the study of human disease and the interpretation of personal genomes.

DISCUSSION

We have presented an expansive survey of regulatory variation influencing transcription factor occupancy *in vivo*. Our approach



leverages the focally high coverage provided by DNase-seq reads to efficiently assess regulatory variants in their native genomic and cellular contexts, and the results highlight the fact that genetic variation in regulatory DNA is chiefly interpreted in a cell type–specific fashion. As power to detect the impact of variation on transcription factor occupancy is determined by the amplitude and cell type– specific activity spectrum of the DHS harboring the variation together with population diversity (**Fig. 2** and **Supplementary Fig. 12a**), the survey of additional cell types and individuals will uncover further functional variation, and power at weaker DHSs can be boosted using targeted footprinting.

Transcription factor-centric models connecting variation at specific recognition sequence positions to specific quantitative effects on occupancy should be of immediate use in decoding the wealth of regulatory variation manifest in personal genomes. Our modeling approach could readily be extended to incorporate a variety of more granular features, such as sensitivity to cellular context, biophysical models of protein-DNA interaction^{31,32} or DNA shape³³, and also offers a new means of calibrating models of transcription factor recognition of DNA. Our modeling approach indirectly incorporates the baseline effect(s) of nearby transcription factor binding through consideration of chromatin accessibility, but variants are scored independently of nearby recognition sequences or other variants in close linkage. Additional information such as sequence preferences indicative of dimerization or allosteric effects on transcription factor activity^{34,35} will likely have important use in connecting altered transcription factor binding within regulatory regions with consequent alterations in gene expression.

Because accessibility is a prerequisite for regulatory DNA function, the cellular spectrum of activity of a given regulatory variant will be governed by the accessibility of the regulatory region harboring it. It is presently unclear to what extent the biological consequences of variation within a given transcription factor recognition sequence might be further restricted to specific cellular contexts by differential expression of its cognate transcription factor (Fig. 3e) or that of co-occupying transcription factors. This issue has major practical implications, as highly prevalent context sensitivity would require surveys of functional variation to be performed separately in every relevant cellular context. Alternatively, less prevalent context sensitivity might allow the supplementation of tissue-specific regulatory maps with eQTL mapping in a proxy tissue. Past eQTL studies have disagreed on the degree of cell type selectivity^{36–40}, likely because of the conflation of cell type selectivity with incomplete detection power, a limited range of pure cell populations³⁸ and a bias toward promoters⁴¹. The unprecedented range of cell types surveyed herein has identified two prominent compartments: context-dependent and context-independent regulatory variation. Within these compartments, both the potential for imbalance at a site and its direction of effect are genetically controlled, but the ultimate presence of imbalance can depend on the epigenetic context. The sites of context-dependent imbalance reported here can be incorporated into assessments of regulatory variant activity, and future work offering increased resolution will provide insight into the sequence determinants of cellular contextspecific functional variation.

The fact that regulatory variants are extensively buffered suggests that most SNVs in regulatory DNA regions have very modest effects (or little to no effect) on transcription factor occupancy and, hence, downstream function. A noteworthy implication of the dominance of context-sensitive features is that studies employing synthetic constructs—either non-integrating or integrating at exogenous sites—will have limited relevance for interpreting the function of individual sequence variants *in vivo*. Rather, future work will require highthroughput methods for the study of regulatory activity that do not sacrifice critical features of the endogenous locus.

Connecting the biological impact of sequence variants on transcription factor occupancy with downstream function-such as gene expression or other molecular phenotypes-remains a challenge, chiefly because both the ability to measure very small effect sizes at the molecular (for example, expression) level and an understanding of how effect sizes relate to phenotype are lacking. For example, a minute change in transcript expression compounded over weeks or months of developmental time may in fact comprise a substantial biological effect size. Given, however, both the frequency of regulatory variation and the degree of buffering we observe, it seems likely that only a small minority of variants influencing transcription factor occupancy will individually result in a visible change in phenotype. Yet, the landscape of noncoding variation harbors the majority of variants associated with common disease³. Much as the recognition of the triplet code enabled the distinction of synonymous from nonsynonymous coding variants, the identification and categorization of variation that affects site-specific transcription factor activity is foundational to the ability to cull meaning from the vast expanse of human noncoding variation.

METHODS

Methods and any associated references are available in the online version of the paper.

Accession codes. Data have been deposited in the Gene Expression Omnibus (GEO) under accessions GSE18927, GSE26328, GSE29692 and GSE55579 for DNase-seq data (Supplementary Table 1) and under accession GSE30263 for ChIP-seq data (Supplementary Table 2).

Note: Any Supplementary Information and Source Data files are available in the online version of the paper.

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AUTHOR CONTRIBUTIONS

M.T.M., E.H. and J.A.S. conceived and designed the experiments. M.T.M. and E.H. analyzed the data. J.V. and M.T.M. performed transcription factor cluster analysis. R.S. provided bioinformatics support. A.S. generated targeted footprinting data. R.K. assisted with data collection. M.T.M. and J.A.S. wrote the manuscript. M.T.M. and J.A.S. jointly supervised research.

COMPETING FINANCIAL INTERESTS

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ONLINE METHODS

DNase-seq and ChIP-seq profiling. We used both new and published samples produced by the Roadmap Epigenomics and ENCODE projects (**Supplementary Tables 1** and **2**) and applied several criteria to ensure data quality. First, we excluded known malignant or transformed cell lines. Second, we excluded samples whose distribution of allelic ratios at heterozygous sites deviated from a mean of 0.5, showed secondary modes or exhibited excessive variance (all potentially indicating pooling of samples from different individuals). Finally, the signal-to-noise ratio for each sample was computed as the signal portion of tags (SPOT) score, computed using the program Hotspot⁴². Samples with low enrichment (generally, a SPOT score below 0.3) were excluded.

DNase I analysis was performed as described^{3,43} (**Supplementary Table 1**). Briefly, nuclei were extracted from cells or tissues and incubated for 3 min at 37 °C with limiting concentrations of the DNA endonuclease DNase I (Sigma) supplemented with Ca²⁺ and Mg²⁺. Digestion was stopped by the addition of EDTA, and the samples were treated with proteinase K. The small 'double-hit' fragments (<500 bp in length) were recovered by sucrose ultracentrifugation, end repaired and ligated with Illumina sequencing adaptors. Chromatin immunoprecipitations were performed as described for CTCF⁴⁴ and H3K4me3 (ref. 2) (**Supplementary Table 2**). Libraries generated from immunoprecipitated or DNase I-treated DNA were sequenced on an Illumina Genome Analyzer IIx, HiSeq 2000 or HiSeq 2500 by the High-Throughput Genomics Center (University of Washington) according to a standard protocol.

Short-read mapping. We mapped reads to the human genome (GRCh37/hg19) using Bowtie⁴⁵. Single-end reads were mapped using the command 'bowtie --mm -n 3 -v 3 -k 2 --phred64-quals' (or '--phred33-quals' for HiSeq data). Aligned reads were subsequently processed to retain only unique alignments with one fewer mismatch than the next-best alignment and with no more than two mismatches in total. Paired-end reads were mapped using the command 'bowtie -n 2 -m 1 -e 70 --best --sam --chunkmbs 256 --phred33-quals --maxins 750'.

Both mates were required to map properly. Reads from several samples with longer read lengths were hard clipped to 36 bp.

Genomic feature overlaps and distance calculations were performed using the BEDOPS suite of software tools⁴⁶. Duplicate reads were flagged on a persample basis using Picard MarkDuplicates, and all further analysis considered only non-redundant reads.

Genotyping from DNase-seq and ChIP-seq reads. We identified samples derived from the same genetic background, including biological replicates and multiple tissues sampled from the same donor (Supplementary Table 3). Samples from the same individual were initially verified to match using preliminary per-sample heterozygote genotype calls. In addition, we examined allelic ratios for each sample at final heterozygote calls to identify potential sample mismatches manifest as excessive imbalance. Finally, 'vcftools --relatedness' (ref. 47) was used to identify unexpected relatedness suggesting sample swaps. For genotyping, reads from all samples from the same individual were then pooled using 'samtools merge'.

We called genotypes directly from the combined DNase I, CTCF and H3K4me3 reads using SAMtools²¹. We merged the reads from all samples for a given individual into a single BAM file, adjusted base qualities from Phred+64 to Phred+33 where necessary, removed any reads with more than two mismatches to the reference genome and corrected SAM tags using 'samtools calmd'. We called genotypes across all samples using 'samtools mpileup -Q 20 -I -d 10000 -D -E -g' and 'bcftools view --vcg'.

We filtered the resultant genotypes using VCFtools⁴⁷ to (i) retain only biallelic autosomal SNPs, (ii) require SNP quality \geq 500, (iii) eliminate SNPs with Hardy-Weinberg equilibrium *P* value <0.01, (iv) require \geq 30 total reads across all individuals, (v) retain only genotypes supported by \geq 12 reads and (vi) retain SNPs with at least one heterozygous genotype with genotype quality >50. We parsed the VCF file using BEDOPS⁴⁶ to extract heterozygous sites per individual and performed further filtering to (vii) exclude SNPs overlapping the ENCODE blacklist²², (viii) require no other SNP passing the above filters within 36 bp, (ix) require genotype calls to have at least four reads for each allele per individual and (x) require genotype calls to have a quality score of at least 50. We observed a Ti/Tv (transition to transversion) ratio of 2.19 for all SNPs, 2.11 for imbalanced SNPs (5% FDR) and 2.02 for the strict imbalanced SNPs (0.1% FDR). The resulting genotypes are summarized for each individual and cell type in **Supplementary Tables 3** and 4. LD was calculated using 'vcftools --geno-r2' (ref. 47) on the unphased genotypes.

Short-read mapping bias. We simulated all possible 36-bp single-end reads overlapping each SNP, including both the reference and alternate alleles. We then mapped the simulated reads using Burrows-Wheeler Aligner (BWA)⁴⁸ to a hg19all index including hg19 unmapped sequences and alternate haplotypes with the command 'bwa aln -1 32 -k 2 hg19all <FASTQ file> | bwa samse hg19all - <FASTQ file>'. Sites with any overlapping read mismapped or mapped with mapping quality (MAPQ) <30 were excluded.

Validation of genotypes. We downloaded Illumina Human 1M-Duo genotypes from the ENCODE Project for samples matching 23 of the individuals in our study (AG04449_and_AG04450, AG09309, AG09319, AG10803, BJ, GM06990, GM12878, H1, HAEpiC, HCF, HCM, HCPEpiC, HIPEpiC, HMEC, HRCE, HRE, HRPEpiC, IMR90, NH-A_and_NHLF, NHDF-neo, RPTEC, SAEC and SkMC) from the HAIB Genotype track in the UCSC Genome Browser²². We computed the sensitivity and specificity of our heterozygote calls relative to each replicate in the HAIB data (**Supplementary Table 5**). All SNPs in DHS, CTCF or H3K4me3 peaks of that cell type or on the Illumina design (for HAIB calls or our calls, respectively) were considered for sensitivity calculations. The sensitivity of our genotypes was computed in two distinct senses: (i) raw sensitivity for all heterozygous sites in DHSs on the array design and (ii) sensitivity of pass-filter genotype calls for heterozygous sites.

Identification of allelic imbalance. At each SNP, reads were extracted from all DNase I alignments for each heterozygous individual using SAMtools²¹, and the reads matching each allele were counted. We computed read sums separately for DNase I, H3K4me3 and CTCF data. For DNase I samples, we excluded 3 bp at the 5' end of the read to exclude any possibility of a sequence-specific DNase I cut rate resulting in artificial imbalance⁴⁹. To correct for potential mapping bias caused by the extra mismatch in reads containing the non-reference allele, a less stringent mismatch threshold was applied. Reads containing the reference allele were only counted if they contained zero or one base mismatch (over the entire read length) to the reference sequence; reads with the non-reference allele were counted if they had one or two base mismatches (one of which was the SNP). We only counted reads where the SNP position had an Illumina base quality >20. Sites with fewer than 50 reads in total across all samples were excluded for lack of power to test for allelic imbalance. Paired-end mate pairs were counted as a single read.

We filtered out a small number of SNPs with >5% of reads not matching the two expected SNP alleles across all samples. We required that SNPs overlap a DNase I hotspot in \geq 3 cell types and required \geq 2 heterozygous samples for each SNP. Finally, we excluded SNPs lying within 100 bp of 1000 Genomes Project indels present at MAF >5% in the CEU population⁵⁰.

Sites passing all filters were then tested for imbalance using a two-tailed binomial test. We calculated FDR using the Benjamini-Hochberg method. We set a loose significance cutoff at 5% FDR; for the more stringent level, we additionally required at least 70% imbalance (that is, a proportion of reads mapping to the reference allele of <30% or >70%) and 0.1% FDR. Imbalance in ChIP-seq data was established at 5% FDR and was compared to 5% FDR DNase I–imbalanced SNPs.

Power to detect imbalance from additional samples. Imbalance was computed considering a subset of samples, starting with the sample with the highest sequencing coverage and recomputing upon adding each successive sample. Coverage was measured as the total number of non-redundant reads overlapping all SNPs. Data for all sites with at least 12 reads were considered. *P*-value thresholds from FDR analysis of the full data set were used.

Targeted DNase I footprinting. Targeted capture of DNase-seq libraries was performed as described²⁴. Nuclei from HMF and AG10803 cells were digested

with DNase I and used to generate Illumina libraries as described above. The DNase I libraries were amplified by PCR following the Capture SureSelect protocol recommendations (Agilent Technologies) and purified using Agencourt AMPure XP beads (Beckman Coulter Genomics). Five hundred nanograms of each library was hybridized to MethylSeq or Human All Exon kits (Agilent Technologies) for 24 h at 65 °C. The biotinylated probe-target hybrids were captured on DynalMyOne Streptavidin T1 (Invitrogen), and samples were washed, eluted, and desalted and purified on a MinElute PCR column (Qiagen) as described in the SureSelect protocol. Each eluted captured library was amplified by PCR with a minimal number of PCR cycles. Amplified captured libraries were purified using Agencourt AMPure XP beads. The samples were then quantified by Qubit dsDNA assay (Invitrogen). Samples were diluted to a working concentration of 10 nM. Cluster generation was performed for each sample, and clusters were loaded onto a single lane of an Illumina HiSeq flow cell and sequenced.

Targeted capture data were analyzed as in the preceding sections, except we corrected for a slight increase in the proportion of reads matching the reference sequence for SNPs lying directly over a capture probe. We calculated melting temperatures ($T_{\rm m}$) for RNA probes (Supplementary Table 8) using the package MELTING with the options '-S SEQ -H dnarna -nn sug95 -P 6.15e14 -E Na = 1' (ref. 51). We then empirically determined the expected allelic ratios of reads mapping to the reference for each SNP as a function of the $T_{\rm m}$ of the overlapping probe. We used 0.5 as the expected allelic ratio for SNPs not overlapping probes. We then performed the binomial test for imbalance relative to the expected allelic ratio. We also repeated the identification of imbalanced SNPs in the genomic samples, but including only reads from the genomic HMF and AG10803 samples. For both the genomic and targeted data, we required at least 50 reads across both samples, kept only sites where one or both samples were heterozygous, and required the presence of a hotspot in at least one of the two cell types. Significant imbalance was established at 5% FDR using the Benjamini-Hochberg method and >60% imbalance.

Cross-cell type analysis of imbalance. To assess imbalance on a persample basis, we identified a set of well-sequenced sites in high-depth samples, requiring \geq 30 reads per sample and \geq 3 heterozygous samples per site (**Supplementary Table 9**). We retained only samples with \geq 1,000 sites meeting these coverage requirements.

The analysis of context-sensitive sites (**Fig. 3b**-e) was performed similarly, except samples of the same cell type from different individuals were further collapsed and we required ≥50 reads per cell type (**Supplementary Table 10**). To avoid confounding cell type selectivity with variable detection sensitivity, we subsampled each site to three cell types and further downsampled the allele counts to match the lowest of the three cell types. We applied the same significance criteria as before to counts across all samples, except that samples were called significant at 5% FDR and an allelic ratio of >60%. *P*-value thresholds from FDR analysis of the full data set were used as cutoffs.

Genomic identification of transcription factor recognition sequences. Potential sites of transcription factor binding were identified by scanning the entire human genome using PWMs curated from four major transcription factor motif collections: TRANSFAC⁵², JASPAR⁵³, UniPROBE⁵⁴ and a published SELEX data set³⁵. To avoid ascertainment bias for motifs better matching the reference allele of common polymorphisms, we created an alternate genome to complement the GRCh37/hg19 reference human genome. This alternate genome incorporates the non-reference allele at the location of each SNP identified in the CEU population of the 1000 Genomes Project⁵⁰. Both the reference and alternate genomes were then scanned for motif occurrences with a threshold of $P < 1 \times 10^{-4}$ using the program FIMO⁵⁵. A fifth-order hidden Markov model (HMM) was generated from 36-bp mappable human genome sequence and used as the background model.

Clustering transcription factor motifs by similarity. We generated allversus-all pairwise similarity scores for each transcription factor motif using TOMTOM⁵⁶, employing the same fifth-order HMM background model:

```
tomtom -dist kullback -query-pseudo 0.1
-target-pseudo 0.1 -text -min-overlap 0 -thresh 1
```

The pairwise scores were then collated into a matrix, and we used Cluster 3.0 to perform hierarchical clustering using Pearson correlation as the distance metric and complete linkage. The resultant tree was cut at height 0.1 using a custom Python script. The original TOMTOM alignments were used to assign a relative orientation to motifs in each cluster for the uniform visualization of cluster members. Motifs were mapped to gene names as previously described²⁷. Well-known transcription factor clusters were assigned names manually; otherwise, a name was generated from the first motif in the cluster. Any redundancy in cluster names was resolved by appending "/2", "/3", etc.

Transcription factor-centric prediction of variants affecting DNA accessibility. All SNPs tested for imbalance in DNase I accessibility were aligned relative to all database motifs. The proportion of SNPs that were allelically imbalanced at each position relative to the motif was computed using the imbalanced SNPs with 0.1% FDR and an allelic ratio of \geq 70%. We considered motifs with a median of \geq 40 SNPs per position in the motif and \geq 3 positions with \geq 7 significant SNPs; positions with <7 SNPs were considered missing data. For SNPs overlapping multiple matches to the same motif, we chose the best motif position and orientation per SNP on the basis of footprint occupancy score (FOS; a quantitative measurement of factor occupancy^{27,57}) and FIMO *P* value. For each SNP overlapping a transcription factor recognition sequence, we measured the strength of the perturbation as the log odds difference between the two alleles according to the PWM using a 40% GC background.

For each motif, the enrichment of imbalanced SNPs was computed as the \log_2 -transformed value of the proportion of imbalanced SNPs lying within the recognition sequence (relative to the flanking 20 bp) divided by the proportion of non-imbalanced SNPs lying within the recognition sequence. To compute the statistical significance of the enrichment of imbalanced SNPs in each motif relative to flanking sequence, we computed the enrichment after permuting the assignments between imbalanced SNPs and their position in the motif or in flanking regions. We performed 1,000 permutations and fit a normal distribution to estimate a *P* value. To correct for multiple testing, we estimated FDR using the Benjamini-Hochberg method. An FDR cutoff of 1% corresponds approximately to a 0.25 log enrichment.

Definition of genomic regions. SNPs were annotated as follows: (i) SNP location relative to genes was computed using RefSeq. (ii) CpG islands were downloaded from the UCSC Genome Browser. (iii) Sequence conservation was measured using the phastCons 100-way alignment from the UCSC Genome Browser. (iv) Unthresholded hotspots and 1% FDR peaks were called using the program Hotspot42. (v) The cell type-specific activity spectrum (termed MCV, for multi-cell verified) was computed using 'bedmap --count' with the combined list of all DHSs across all cell types in Supplementary Table 1. An additional 22 malignant or immortalized cell lines were included for prediction. (vi) Normalized DHS strength was computed as the number of reads per 1 million reads sequenced; the mean was taken for all DHSs overlapping a given SNP. (vii) The average DHS width was computed as the average width of all overlapping unthresholded hotspots across all cell types. (viii) DNase I footprints were collated from 85 high-depth samples, and the lowest FOS was taken from the overlapping footprints (requiring FOS <0.95 and 1 bp of overlap with SNPs or 3 bp of overlap with transcription factor recognition sequences). (ix) The number of factors occupying the 500-bp region surrounding each SNP was computed by counting all distinct transcription factor clusters overlapping a DNase I footprint by at least 3 bp in at least one cell type.

Prediction of SNPs perturbing transcription factor recognition sequences. We used the glm() function in R to fit a logistic model for each motif, considering all SNPs directly overlapping the recognition sequence (using the strict 0.1% FDR set of significant SNPs, as before):

Features were scaled to mean (μ) = 0 and standard deviation (σ) = 1. Scores were scaled to an empirical percent significant score using a regression on binned raw regression scores:

pctSig ~ exp(score.bin)

We used the predict() function to apply the model for each motif and selected the maximum score from all motifs at a SNP. Performance was plotted against experimentally determined imbalanced variants (5% FDR; only considering SNPs with \geq 3 heterozygotes and >100 reads) using ROCR⁵⁸. The covariate terms Num. hets and log(Read depth) were set to 0 for computation of the empirical percent significant score, plotting of classifier performance and predictions.

We downloaded dbSNP 138 (ref. 30) from the UCSC Genome Browser and scored each SNP on assembled chromosomes (autosomes, the X chromosome and the Y chromosome). We conservatively considered only variants overlapping 1% FDR DNase I hotspot peaks (considering the cell types in **Supplementary Table 1** and 22 malignancy-derived samples).

Validation of transcription factor-centric models. Fetal liver-derived erythroblast DNase I data (FL_E; see Supplementary Table 1) were analyzed as before. We tested for imbalance at 9,846 SNPs passing all filters, and 1,613 imbalanced variants were identified at a 5% FDR cutoff. Variants were then scored using the transcription factor models generated on the primary data sets, and the PPV was computed as before using ROCR.

To assess the significance of the enrichment of predicted SNPs in dsQTLs⁴ while accounting for possible confounding factors, noncoding SNPs (those not in CCDS) from dbSNP with matching MAF, genic location and distance to a TSS were sampled to generate a background distribution. Sets of SNPs from 500 permutations were scored with a significance cutoff at 0.10. To estimate a *P* value, the background distribution was fit with a normal distribution.

Model performance was also compared relative to GERP⁵⁹, phastCons⁶⁰, CADD⁶¹, fitCons⁶² (i6 scores across three cell types) and deltaSVM⁶³ (maximum score across all cell types in common with this study). Any missing data were replaced with the minimum score. For comparison against dsQTLs, the background set from Lee *et al.*⁶³ was intersected with GM12878 DNase I peaks. Precision recall curves were computed using ROCR.

Code availability. We used publically available software tools, including the BEDOPS suite⁴⁶. Analysis was performed using bash, awk and R. Additional code is available on request.

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Erratum: Large-scale identification of sequence variants influencing human transcription factor occupancy *in vivo*

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In the version of this article initially published online, the Online Methods incorrectly abbreviated mapping quality as MAQ rather than MAPQ. Also in the Online Methods, the procedure for downsampling allele counts for cross-cell type analysis of imbalance was incorrectly written as "we subsampled each site to three cell types and further downsampled the allele counts to mapping quality for the lowest of the three cell types." The sentence should read "we subsampled each site to three cell types and further downsampled to the allele counts to match the lowest of the three cell types." The cell types." The errors have been corrected for the print, PDF and HTML versions of this article.

